ANNEX I

Part 7

Qualifying holdings in a credit institution

	Directive 2013/36/EU	Assessment criteria and information that is necessary for assessing the suitability of the proposed acquirer seeking to acquire a credit institution and the financial soundness of the proposed acquisition	Information to be provided by the competent authority	
010	**Date of the last update of information in this template**			*(09/09/2019)*
020	**Article 23(1)(a)**	Reputation of the proposed acquirer	Description on how the competent authority assesses the integrity of the proposed acquirer	GEO 99/2006 amended by GEO 113/2013:
				Art. 26 paragraph (1) a)
				(http://www.bnr.ro/Institutii-de-credit--1965.aspx)
				NBR Regulation 11/2007 on authorisation of credit institutions, Romanian legal entities, and of branches in Romania of credit institutions from third countries, as subsequently amended and suppemented:
				1^1.1. Art. 18^2 -18^6
				http://www.bnro.ro/Credit-Institutions-2698.aspx
030			Description on how the competent authority assesses the professional competence of the proposed acquirer	GEO 99/2006 amended by GEO 113/2013:
				Art. 26 paragraph (1) a)
				(http://www.bnr.ro/Institutii-de-credit--1965.aspx)
				NBR Regulation 11/2007 on authorisation of credit institutions, Romanian legal entities, and of branches in Romania of credit institutions from third countries, as subsequently amended and suppemented:
				1^1.1. Art. 18^7 -18^{11}
				http://www.bnro.ro/Credit-Institutions-2698.aspx
040			Practical details on the cooperation process between competent authorities pursuant to Article 24 of Directive 2013/36/EU	References with respect to the proposed acquirer are required to the competent authorities in terms of reputation (professional competence and integrity), vialbility, financial soundness, as well as any potential involvement in money laundering or terrorist financing.
050	**Article 23(1)(b)**	Reputation, knowledge, skills and experience of any member of the management body or senior management who will direct the business of the credit institution	Description on how the competent authority assesses the reputation, knowledge, skills and experience of members of management body and senior managers	GEO 99/2006 amended by GEO 113/2013:
				Art. 26 paragraph (1) b)
				(http://www.bnr.ro/Institutii-de-credit--1965.aspx)
				NBR Regulation 11/2007 on authorisation of credit institutions, Romanian legal entities, and of branches in Romania of credit institutions from third countries, as subsequently amended and suppemented:
				1^1.1. Art. 18^{12} -18^{13}

				http://www.bnro.ro/Credit-Institutions-2698.aspx
060	**Article 23(1)(c)**	Financial soundness of the proposed acquirer	Description on how the competent authority assesses the financial soundness of the proposed acquirer	GEO 99/2006 amended by GEO 113/2013:
				Art. 26 paragraph (1) c)
				(http://www.bnr.ro/Institutii-de-credit--1965.aspx)
				NBR Regulation 11/2007 on authorisation of credit institutions, Romanian legal entities, and of branches in Romania of credit institutions from third countries, as subsequently amended and suppemented:
				1^{1}.2. Art. 18^{14} -18^{18}
				http://www.bnro.ro/Credit-Institutions-2698.aspx
070			Practical details on the cooperation process between competent authorities pursuant to Article 24 of Directive 2013/36/EU	References with respect to the proposed acquirer are required to the competent authorities in terms of reputation (professional competence and integrity), vialbility, financial soundness, as well as any potential involvement in money laundering or terrorist financing.
080	**Article 23(1)(d)**	Compliance of the credit institution with the prudential requirements	Description on how the competent authority assesses whether or not the credit institution will be able to comply with the prudential requirements	GEO 99/2006 amended by GEO 113/2013:
				Art. 26 paragraph (1) d)
				(http://www.bnr.ro/Institutii-de-credit--1965.aspx)
				NBR Regulation 11/2007 on authorisation of credit institutions, Romanian legal entities, and of branches in Romania of credit institutions from third countries, as subsequently amended and suppemented:
				1^{1}.3. Art. 18^{19} -18^{21}
				http://www.bnro.ro/Credit-Institutions-2698.aspx
090	**Article 23(1)(e)**	Suspicion of money laundering or terrorist financing	Description on how the competent authority assesses whether or not there are reasonable grounds to suspect money laundering or terrorist financing	GEO 99/2006 amended by GEO 113/2013:
				Art. 26 paragraph (1) e)
				(http://www.bnr.ro/Institutii-de-credit--1965.aspx)
				NBR Regulation 11/2007 on authorisation of credit institutions, Romanian legal entities, and of branches in Romania of credit institutions from third countries, as subsequently amended and suppemented:
				1^{1}.4. Art. 18^{22} -18^{24}
				http://www.bnro.ro/Credit-Institutions-2698.aspx
100			Practical details on the cooperation process between competent authorities pursuant to Article 24 of Directive 2013/36/EU	References with respect to the proposed acquirer are required to the competent authorities in terms of reputation (professional competence and integrity), vialbility, financial soundness, as well as any potential involvement in money laundering or terrorist financing.
110	**Article 23(4)**	List specifying the information to be provided to the competent authorities at the time of notification	List of information that must be provided by the proposed acquirer at the time of notification in order for the competent authority to carry out the assessment of the proposed acquirer and the proposed acquisition	GEO 99/2006 amended by GEO 113/2013:
				Art. 26 paragraph (4)
				(http://www.bnr.ro/Institutii-de-credit--1965.aspx)
				NBR Regulation 11/2007 on authorisation of credit institutions, Romanian legal entities, and of branches in Romania of credit institutions from third countries, as subsequently amended and suppemented:

				Art. 21
				http://www.bnro.ro/Credit-Institutions-2698.aspx