ANNEX I

Part 1

Transposition of Directive 2013/36/EU

	Transposition of provisions of Directive 2013/36/EU	Provisions of Directive 2013/36/EU	Links to national text[1]	Reference(s) to national provisions[2]	Available in EN (Y/N)
010	**Date of the last update of information in this template**		*(09/09/2019)*		
020	**I. Subject matter, scope and definitions**	Article 1	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.1(1)	No
		Article 2	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.1(2), (3); Art.2 lett.a)	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.1(5), (6)	No
			Law 126/2018 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/primary-legislation-cnvm/6452-law-no-126-of-11-june-2018-regarding-financial-instruments1	Art. 47(4)	Yes
		Article 3	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.7(1^1), (1^2), (1^4)	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.3(1), (3)	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 3 pt. 1-3, 13, 14, 16-24, 39; Art.3_1 (2)	Yes
030	**II. Competent authorities**	Article 4	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 4(1)-(3), (6)-(7); Art. 4^1(1)-(3); Art.171(2); Art. 121(2)	No
		Alin. (3)	OUG 99/2006 modificată prin OUG 113/2013, aprobată prin Legea 29/2015 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.4^1 alin.(2^1)	No

		Article 5	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 189(1)	No
		Article 6	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.173[4]	No
		Article 7	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.4 (5); Art.4[1] (4)	No
040	**III. Requirements for access to the activity of credit institutions**	Articles 8 to 27			
050	**1. General requirements for access to the activity of credit institutions**	Article 8	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.10(1); Art. 32(1)	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 10(2)	No
		Article 9	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.5(1), (2) lett.a-b	No
			GEO 99/2006 modificată prin GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.5(2) lett.c	No
		Article 10	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.17	No
		Article 11	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.38(2)	No
		Article 12	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.11; Art.38(1) lett.b; Art.70; Art. 240[29](4)	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.249(1)	No
			Law 126/2018 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/primary-legislation-cnvm/6452-law-no-126-of-11-june-2018-regarding-financial-instruments1	Art. 47(1)	Yes
		Article 13	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.13; 14(1);Art.38(1) lett.e; Art.71; Art.107(1)-(2);	No

			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 108(1)	No
		Article 14	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.15(2); Art. 16; Art.38 (1) lett.f;	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.15(1), (1^1); Art.31(2)	No
			NBR Regulation 11/2007, as subsequently amended and supplemented	Art.2^1(1), (2)	No
		Article 15	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.33	No
		Article 16	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 37(1)-(3)	No
		Article 17	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.46(1)	No
		Article 18	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.39 lett.a – lett.c; Art.40(1); Art. 296 (1)	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.39 lett.d, lett.e	No
		Article 19	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.50	No
		Article 20	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 36; Art. 36^1; Art.42(1)	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 43	No
		Article 21	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 345(4); Art. 382 (1)	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 338(1); Art. 350; Art. 383; Art. 384 (1); Art. 385 (1)	No
060	2. Qualifying holding in a credit institution	Article 22	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.7(1) pct.1^1 - 1^3; Art.25 (1), (3)-(10); Art.31(1)	No

		Article 23	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.26(1) lett.a, lett.c, lett.e; Art.26 (2)-(6)	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.26(1) lett.b, lett.d	No
		Article 24	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 26^1 (1), (2); Art.147(2)	No
		Article 25	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.27	No
		Article 26	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 29(1); Art. 230 (1); Art. 230 (2); Art. 232 (1)	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 29(2); Art. 31(2); Art. 231(3)	No
		Article 27	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 16; Art.28	No
			NBR Regulation 6/2008, as subsequently amended and supplemented http://www.bnro.ro/Credit-Institutions-2698.aspx	Art.15^3(1)	Yes
			NBR Regulation 11/2007, as subsequently amended and supplemented http://www.bnro.ro/Credit-Institutions-2698.aspx	Art. 2^1(1)	Yes
070	**IV. Initial capital of investment firms**	Article 28	Law 126/2018 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/primary-legislation-cnvm/6452-law-no-126-of-11-june-2018-regarding-financial-instruments1	Art. 47(1), (5)	Yes
		Article 29	Law 126/2018 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/primary-legislation-cnvm/6452-law-no-126-of-11-june-2018-regarding-financial-instruments1	Art. 47 (2), (3), (6), (7)	Yes
		Article 30	N/A		

		Article 31	Law 126/2018 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/primary-legislation-cnvm/6452-law-no-126-of-11-june-2018-regarding-financial-instruments1	Art. 47(4)	Yes
		Article 32	N/A		
080	**V. Provisions concerning the freedom of establishment and the freedom to provide services**	Articles 33 to 46			
090	1. General principles	Article 33	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 45 (1); Art. 80 (1)	No
		Article 34	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.54(1) lett.e; Art. 89(3)	No
			GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.54(1) lett.a-lett.e, Art.54(2); Art.56; Art.587; Art.89 (1) lett.a-lett.c; Art.89(5)-(6); Art.54(2); Art.90	No
100	2. The right of establishment of credit institutions	Article 35	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.48(1)-(2) lett.a-lett.c; Art.81 (1)-(2), (4)-(5)	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.48(2) lett.d; Art.54 (3);Art.81(3); Art.89(3)	No
		Article 36	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.48(1),(4); Art.82(1)-(2)	No
		Article 37	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.84	No
		Article 38	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.45(2); Art.80(2)	No
110	3. Exercise of the freedom to provide services	Article 39	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.49; Art.83 (1), (3)	No
120	4. Powers of the competent authorities of the host Member State	Article 40	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 59 (1), (1^1)	No
		Article 41	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 60(1) lett.a-b; Art.60(1^1); Art. 85 (1)- (1^1)	No

		Article 42	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 63^1 (1); Art.85(1^2)	No
		Article 43	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 63 (1), (1^1) - (1^6); Art.87(1), (1^1)	No
		Article 44	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 61; Art. 86	No
		Article 45	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.64; Art. 88	No
		Article 46	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.66	No
130	**VI. Relations with third countries**	Article 47	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.69 (1), (3); Art.77 (1); Art.79	No
		Article 48	Transposition is not necessary		
140	**VII. Prudential supervision**	Articles 49 to			
150	1. Principles of prudential supervision	Articles 49 to			
160	1.1 Competence and duties of home and host Member States	Article 49	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.172 (1); Art. 208 (1), (2)	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 164(1); Art. 63^1(3); Art.209(2); Art. 283 (1) – (4)	No
		Article 50	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.173 (1); Art. 210 (1)	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 173(2), (2^1)-(2^3), (2^4), (3); Art. 210(2), (2^1),(3)	No
		Article 51	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 210^1 (1), (2) lett.a, lett.c; Art. 210^2 (1)-(3); Art. 173^1 (1), (3), (4); Art. 173^2 (1) (2); Art. 173^3 (1), (2), (3), (5); Art. 210^3	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 173^2(3), (4); Art. 210^1(2) lett.b; Art. 210^4;Art. 173^3(4)	No

		Article 52	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 174(1)-(4); Art. 211(1), (2), (2^1), (2^3), (2^4)	No
170	1.2 Exchange of information and professional secrecy	Article 53	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 214; Art. 215(2)-(3)	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 215(1), (4)	No
		Article 54	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 216	No
		Article 55	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 217	No
		Article 56	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 218(1) lett.a, lett.b; Art. 218(2), (3), (5)-(7)	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 218(1) lett.a^1 – lett.a^3, lett.c; Art. 218(4)	No
		Article 57	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 219 (1) lett.a, lett.b; Art. 219 (2), (3); Art.220	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 219 (1) lett.a^1; Art.221	No
		Article 58	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 222 (1) lett.a^1, lett.c; Art. 222 (6)	No
			GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 222 (1) lett.a, lett.b; Art.222 (2)-(5)	No
		Article 59	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 222^1 (1)-(2), (4)-(5)	No
			GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 222^1 (3)	No
		Article 60	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 222^2	No

		Article 61	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 223	No
		Article 62	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 223^1	No
180	1.3 Duty of persons responsible for the legal control of annual and consolidated accounts	Article 63	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.156 (1), (3), (4)	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 156(4^1)	No
190	1.4 Supervisory powers, powers to impose penalties and right of appeal	Article 64	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 225 (1)-(2)	No
		Article 65	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.204(1)-(2); Art. 225(3), (5)-(8)	No
		Article 66	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 410	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx GEO 99/2006 amended by GEO 113/2013 approved by Law no.29 /2015 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 229(1) lett.b)-d), lett.f); Art. 229(2) lett.a), lett.c); Art. 229^1 lett.a)-b) Art.410	No No
		Article 67	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx GEO 99/2006 amended by GEO 113/2013 approved by Law no.29 /2015 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 228(1) lett.a)-lett.o); Art. 228(2); Art.229(1) lett.b)-lett.d), lett.f); Art.229(2) lett.a)-lett.b) Art.229(2) lit.a^1)	No No
		Article 68	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 234(4)-(7)	No

		Article 69	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 234[1] (1), (2)	No
		Article 70	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.225(4)	No
		Article 71	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 234[2]	No
		Article 72	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 275 (1)	No
			GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 276; Art.277	No
200	2. Review processes				
210	2.1 Internal capital adequacy assessment process	Article 73	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 148 (1)	No
			GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.148 (2)	No
220	2.2 Arrangements, processes and mechanisms of institutions	Article 74	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.24(1)	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.24(2), (2[1]); Art.24[1]; Art. 164[1](1)-(4); Art. 164[2](1), (2)	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.4(1)	No
		Article 75	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.24 (3), (4)	No
		Article 76	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.24 (1)	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.24 (2)	No

			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.12(2); Art.23(1)-(5); Art.29(3), (4); Art.30(6)-(8); Art.37(1); Art.38; Art.40(1); Art.47; Art.48(1)-(2)	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 7(1)-(5), Art. 22(1)-(4), (6), Art. 23(1)-(2), Art. 24 (1)-(5)	Yes
		Article 77	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 166^4	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	164(1)-(3)	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 47	Yes
		Article 78	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 166^5 (1)-(4)	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.165(1)-(5)	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 48	Yes
		Article 79	NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.86 lett.a - lett.c; Art.87 lett.b; Art.89	No

			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 25; Art. 26	Yes
		Article 80	NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.110(1)	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 27	Yes
		Article 81	NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.111	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 28	Yes
		Article 82	NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.112(1)-(2)	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 29	Yes
		Article 83	NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.116; Art.125(1)-(4); Art.126	No

			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 30-32	Yes
		Article 84	NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.127	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 33	Yes
		Article 85	NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.149(1)-(3)	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 45	Yes
		Article 86	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 166^{6}(1)-(3)	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.137(1)-(4), (6)-(7); Art.138(1)-(2); Art.139(1)-(3); Art.140(1); Art.144(1)-(4); Art.145(1);	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 38-44	Yes
		Article 87	NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.158(1)-(2)	No

			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 46	Yes
		Article 88	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 106	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx GEO 99/2006 amended by GEO 113/2013 approved by Law no.29 /2015 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.11; Art.13(1); Art.24(1)- (3), (5), (6) Art.278(3); Art.107(2[1])	No No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 5; Art. 6; Art. 8	Yes
		Article 89	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 152[1]	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.644	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 133	Yes
		Article 90	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 152[1]	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.645	No

			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 134	Yes
		Article 91	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.24(5); Art. 108(1), (4), (4^1); Art. 108^1 (1)- (3);	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx GEO 99/2006 amended by GEO 113/2013 approved by Law no.29 /2015 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.18(1), Art.19 Art.108^1(2^1) lit.a) - lit.c); Art.108^1(2^2) lit.b), lit.c)	No No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 9	Yes
		Article 92	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 24(2^2)	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.170; Art.35(2), (3) lett.d, (4)	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 53	Yes
		Article 93	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.24(2^2)	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.172	No

			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 55	Yes
		Article 94	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.24(2^2)	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.171	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 54	Yes
		Article 95	NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.173	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 56	Yes
		Article 96	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 163^1	No
230	2.3 Supervisory review and evaluation process	Article 97	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.166(1)-(3), (5)	No
		Article 98	NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.166(1)-(7)	No

			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-	Art. 49	Yes
		Article 99	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 169[1]; Art.211(2[2])	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.167	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 50	Yes
		Article 100	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.166(4)	No
		Article 101	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 166[1]	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.168	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 51	Yes
240	2.4 Supervisory measures and powers	Article 102	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 226(1)-(2)	No
		Article 103	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 166[2](1)-(2)	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.166(8)	No
		Article 104	GEO 99/2006 amended by GEO 113/2013	Art.226(3) lett.a)-lett.g), lett.k) –	No

			http://www.bnro.ro/Institutii-de-credit--1965.aspx GEO 99/2006 amended by GEO 113/2013 approved by Law no.29 /2015 http://www.bnro.ro/Institutii-de-credit--1965.aspx	lett.n); Art.226(4) lett.a)- lett.f); Art.226(5) Art.226(3) lett.d) 2nd thesis and lett.k[1])	No
		Article 105	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.226(6), (7)	No
		Article 106	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.163	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.313	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art.128	Yes
		Article 107	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 166[3]	No
250	2.5 Level of application	Article 108	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 177	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 122	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.298; Art.299; Art.300(1), (2); Art.303; Art.306(1); Art.308(2)	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 113-116; Art. 121; Art.123 (2)	Yes
		Article 109	NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.301; Art.304; Art.306(2)-(4); Art.307; Art.308(1);	No

			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 116; 121-123	Yes
		Article 110	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 164(2)	No
		Para. (2)	The option was not exercised		
260	3. Supervision on a consolidated basis	Articles 111 to 127			
270	3.1 Principles for conducting supervision on a consolidated basis	Article 111	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 176 (1) lett.a, lett.d	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 176 (1) lett.b, lett.c, lett.e; Art.176(2);	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.297 lett.a) – lett.e)	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 112	Yes
		Article 112	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 181 (1) lett.c); Art.192 preamble	No
			GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.181 (1) lett.a) - lett.b); Art.181(2); Art. 181(1^{1}); Art.192 lett.a) - lett.b);	No
		Article 113	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 182^{1} (2); Art. 182^{2} (2); Art. 182^{4} (2); Art. 182^{6} (1); Art. 182^{7} (1);	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 182^{1} (1); Art. 182^{2} (1) – (3); Art. 182^{3}; Art. 182^{4} (1); Art. 182^{5}; Art. 182^{7}(2); Art. 182^{8}	No
		Article 114	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 183 (1^{1}), (1^{2});	No

			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 183 (1), (2);	No
		Article 115	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 185	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 184; Art.189(2)	No
		Article 116	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 185^1 (1) - (3) lett.a) - lett.e); Art. 185^1 (21); Art. 185^2 (1); Art. 185^3; Art. 185^4; Art. 185^5 (2)	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 185^1 (3) lett.f, Art. 185^1 (4) - (5); Art. 185^5 (1); Art. 185^6	No
		Article 117	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 186(2),(3), (4) lett.a) – c); Art. 186(5); Art. 188 (1) lett.a; Art. 188(3); Art.191(1) preamble,	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 186(1), (1^1) ; Art. 186(4) lett.d; Art. 187 ; Art. 188(1) lett.b; Art.188(2); Art. 190; Art. 191(1)	No
		Article 118	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 203(2)-(4)	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 203(1)	No
280	3.2 Financial holding companies, mixed financial holding companies and mixed-activity holding companies	Article 119	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.179(1); Art.180(3)	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 180(1), (2)	No
		Article 120	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 197^1; Art. 197^2	No
		Article 121	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 196(1)	No

			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 196(1^1), (2)	No
		Article 122	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 194; Art. 195	No
		Article 123	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 198	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.312(1)	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 127	Yes
		Article 124	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 199; Art. 200 (4)-(6); Art. 201	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 200(3)	No
		Article 125	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 202(1) – (2)	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 202(3)	No
		Para. (1)	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 202(1)	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 278 (1) lett. e)	No
		Para. (2)	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 202(2)	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 278 (1) lett. e)	No

		Para. (3)	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 202(3)	No
		Article 126	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 204 (1) lett.f), lett.g); Art.204(3)	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 204 (2)	No
		Article 127	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 206 (2)-(3); Art. 207(2)-(3)	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 206(1); Art. 207(1)-(4)	No
290	4. Capital buffers	Articles 128 to 142	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 126^1 (1)	No - NBR Reg. 5/2013 Yes - NCMO Reg. 2/2017
300	4.1 Buffers	Article 128	NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 3 pt. 41 – 48	No
			NCMO Regulation 2/2017 http://www.cnsmro.ro/en/despre/cadru-juridic/	Art. 2 para. (3) - (5) and (7)	Yes
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art.3 pt. 30-37, art. 64	Yes
		Article 129	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 126^1 (2)	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.256	No
			NBR Order 12/2015 http://www.bnro.ro/apage.aspx?pid=404&actId=328438	Art. 1	
			NCMO Regulation 2/2017 http://www.cnsmro.ro/en/despre/cadru-juridic/	Art. 3	Yes

			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 66	Yes
		Article 130	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 126[1] (2)	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.257	No
			NBR Order 12/2015 http://www.bnro.ro/apage.aspx?pid=404&actId=328438	Art. 2	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 67	Yes
			NCMO Regulation 2/2017 http://www.cnsmro.ro/en/despre/cadru-juridic/	Art. 9	Yes
		Article 131	NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.266, Art.269, Art.275, Art.276, Art.277, Art.278, Art.279, Art.280	No
			NCMO Regulation 2/2017 http://www.cnsmro.ro/en/despre/cadru-juridic/	Art. 15 - 24	Yes
			NBR Order 9/2018 http://www.bnro.ro/apage.aspx?pid=404&actId=330396		No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 76; Art. 79; Art. 87-92	Yes
		Article 132	Transposition is not necessary		Yes

		Article 133	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 126[1](2)	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.276 lett.b), Art.277, Art.278, Art.279, Art.280, Art.281, Art.288, Art.289, Art.682(3)	No
			NBR Order no. 8/2018, subsequently ammended and supplemented by NBR Order no.2/2019 http://www.bnro.ro/apage.aspx?pid=404&actId=330355 http://www.bnro.ro/apage.aspx?pid=404&actId=330535		No
			NCMO Regulation 2/2017 http://www.cnsmro.ro/en/despre/cadru-juridic/	Art. 25 - 34	Yes
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 88 lett. b); Art. 89-92; Art. 94; Art.103; Art. 104; Art. 156(2)	Yes
		Article 134	NCMO Regulation 2/2017 http://www.cnsmro.ro/en/despre/cadru-juridic/	Art. 35	Yes
310	4.2 Setting and calculating countercyclical capital buffers	Article 135	Transposition is not necessary		
		Article 136	NCMO Regulation 2/2017 http://www.cnsmro.ro/en/despre/cadru-juridic/	Art. 4, 5, 7, 8	Yes
		Article 137	NCMO Regulation 2/2017 http://www.cnsmro.ro/en/despre/cadru-juridic/	Art. 10, 13, 14	Yes
		Article 138	Transposition is not necessary		
		Article 139	NCMO Regulation 2/2017 http://www.cnsmro.ro/en/despre/cadru-juridic/	Art. 11 - 14	Yes
		Article 140	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 1261 (2)	No

			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.261, Art.262, Art.263	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 71-73	Yes
320	4.3 Capital conservation measures	Article 141	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 126[2]	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.291; Art.292; Art.293; Art.294; Art.295	Yes
			FSA Regulation 3/2014	Art. 106-110	Yes
		Article 142	NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.296	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 111	Yes
330	**VIII. Disclosure by competent authorities**	Article 143	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 224 (1) lett.a – lett.c; Art.224(2)	No
			GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 224 (1)lett.d	No
		Article 144	NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.640; Art.641; Art.642	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 129-131	Yes
340	**IX. Amendments of Directive 2002/87/EC**	Article 150	Transposition is not necessary		

350	**X. Transitional and final provisions**	Articles 151 to 165			
360	1. Transitional provisions on the supervision of institutions exercising the freedom of establishment and the freedom to provide services	Article 151	The provisions relating to the amendments of Article 4(5), Article 59(1) - (11), Article 60 (1) - (1^1), Article 63(1) - (16), art.63^1(3) , Article 85(1) - (1^1), Article 87 (1) - (1^1), 173 (2) - (2^4), and (3), Article173^2 (3) - (4), Article 174, Article 209 (2), Article 210 (2) - (2^1), and (3), Article 210^1 (2) lett.b), Article 210^4, 211 (1) - (2^4) shall enter into force on the date on which the requirement of liquidity coverage requirement becomes applicable in accordance with the delegated act adopted by the European Commission.		
			The provisions of Article 60 (2) - (3), Article 65, Article 85 (2) - (3), Article 172 (2) - (3), Article 209 (1) and Article 211 (3) shall be repealed on the date on which the liquidity coverage requirement becomes applicable in accordance with a delegated act adopted by the European Commission.		
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.663	No
		Article 152	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.59(1), (2)	No
		Article 153	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.60; Art.65; Art.85(1), (2), (3)	No
		Article 154	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.63(1); Art.87	No
		Article 155	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 164; Art.172(1) - (2) - (2^1); Art.208	No
		Article 156	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 172(3); Art.209	No

		Article 157	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.173(1) – (2); Art.210(2) – (3);	No
		Article 158	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.173[1](1), (3); Art.173[2]; Art.173[3]; Art.210[1]; Art.210[2]; Art.210[3]	No
		Article 159	GEO 99/2006 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.174; Art.211;	No
370	2. Transitional provisions for capital buffers	Article 160	NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.664; Art.665; Art.666	No
			NCMO Regulation 2/2017 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art. 36 - 38	Yes
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 149-151	Yes
380	3. Final provisions	Article 161	Transposition is not necessary		
		Article 162	GEO 99/2006 amended by GEO 113/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.XI lett.a – lett.c	No
			NBR Regulation 5/2013 http://www.bnro.ro/Institutii-de-credit--1965.aspx	Art.667; Art.682(1), (4)	No
			FSA Regulation 3/2014 https://asfromania.ro/en/legislation/sectorial-legislation/capital-market/secondary-legislation-cnvm/regulations-cnvm/3498-regulation-no-3-2014	Art. 152; Art. 156	Yes
		Article 163	Transposition is not necessary		
		Article 164	Transposition is not necessary		
		Article 165	Transposition is not necessary		

([1]) Hyperlink(s) to the website containing the national text transposing the Union provision in question.
([2]) Detailed references to the national provisions, such as relevant Title, Chapter, paragraph etc.